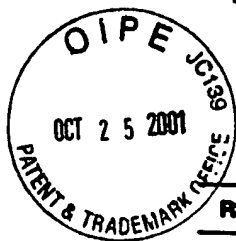


# 2



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
VI

SERIAL NO.

09/933,986

APPLICANTS: MARK S.F. CLARKE and  
DANIEL L. FEEBACK

FILING DATE

08-21-2001

GROUP

1754

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6187823	07/13/01	HADDON ET AL.	B01F	3/16	09/29/99
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

RECEIVED

OCT 30 2001

TC 1700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AL						
AM						
AN						
AO						
AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PL	AR	Kevin D. Ausman et al. Organic Solvent Dispersions of Single-Walled Carbon Nanotubes: Toward Solutions of
	AS	Pristine Nanotubes. July 19, 2000 J. Phys. Chem. American Chemical Society
	AT	

EXAMINER

DATE CONSIDERED

7/29/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#3

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

ATTY DOCKET NO. USRA-SWCNT-VI

SERIAL NO. : 09/932,986

APPLICANT : Mark S.F. Clarke, et al.

FILING DATE : 08/21/2001

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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JUN 18 2002  
TC 1700

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

PL		A.C. Rinzler, et al.; "Large-scale purification of single-wall carbon nanotubes: process, product, and characterization" Applied Physics A 67, 117 (1998) pgs. 29-37.
PL		G.S. Duesberg, et al; "Rapid Communication; Chromatographic size separation of single-wall carbon nanotubes"; Applied Physics A; (1998) pgs. 117-119.
PL		Jie Liu, et al.; "Fullerene Pipes"; SCIENCE VOL. 280; (May 22 1998); pgs. 1253 - 1256.
PL		Konstantin B. Shelimov, et al.; "Purification of single -wall carbon nanotubes by ultrasonically assisted filtration"; Chemical Physics Letters 282; (1998) pgs. 429 - 434.
EXAMINER		DATE CONSIDERED 7/29/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.s



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*Complete if Known*

<b>Application Number</b>	09/932,986
<b>Filing Date</b>	08/21/2001
<b>First Named Inventor</b>	Mark S.F. Clarke
<b>Group Art Unit</b>	1754
<b>Examiner Name</b>	LISH, Peter J.

Attorney Docket Number	USRA-SWCNT VI
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## FOREIGN PATENT DOCUMENTS

[illegible]

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<sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>2</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.